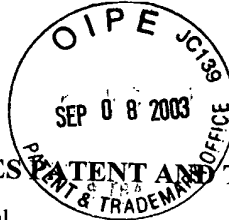


Docket No. 239512US0CONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi OKAZOE, et al.

SERIAL NO: 10/619,784

FILED: July 16, 2003

GAU:

EXAMINER:

FOR: PROCESSES FOR PRODUCING A FLUORINATED ESTER, A FLUORINATED ACYL FLUORIDE AND A FLUORINATED VINYL ETHER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Roland E. Martin
Registration No. 48,082



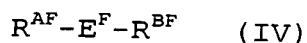
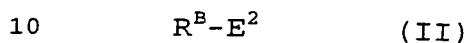
LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
237014US0 CONT	10/421,924	04/24/03	OKAZOE, et al.
239512US0 CONT*	10/619,784	07/16/03	OKAZOE, et al.

*Present Application; listed for information

WHAT IS CLAIMED IS:

1. A process for producing a fluorine-containing compound, which comprises reacting the following compound (I) with the following compound (II) to form the following compound (III), fluorinating the compound (III) in a liquid phase to form the following compound (IV) and then converting the compound (IV) to the following compound (V) and/or the following compound (VI):



- 15 wherein R^A , R^B : each independently is a monovalent saturated hydrocarbon group, a halogeno monovalent saturated hydrocarbon group, a hetero atom-containing monovalent saturated hydrocarbon group, a halogeno(hetero atom-containing monovalent saturated hydrocarbon) group, or a monovalent organic group (R^H) which can be converted to R^{HF} by a fluorination reaction in a liquid phase,

- R^{HF} : a group having at least one hydrogen atom in a group selected from a monovalent saturated hydrocarbon group, a partially halogeno monovalent saturated hydrocarbon group, a hetero atom-containing monovalent saturated hydrocarbon group and a partially halogeno(hetero atom-containing monovalent hydrocarbon)

FOR INFORMATION
DISCLOSURE
PURPOSES ONLY

Related Pending Application

Related Case Serial No: 10/421,924

Related Case Filing Date: 04/24/03

group, substituted by a fluorine atom;

R^{AF} , R^{BF} : R^{AF} is a group corresponding to R^A , and R^{BF} is a group corresponding to R^B ; and in a case where each of R^A and R^B is a monovalent saturated hydrocarbon group, a halogeno monovalent saturated hydrocarbon group, a hetero atom-containing monovalent saturated hydrocarbon group, or a halogeno(hetero atom-containing saturated hydrocarbon) group, R^{AF} and R^{BF} are the same groups as R^A and R^B , respectively, or groups having at least one fluorine atom present in the groups of R^A and R^B substituted by a fluorine atom, and in a case where R^A and R^B are monovalent organic groups (R^H), R^{AF} and R^{BF} are R^{HF} , respectively;

E^1 , E^2 : reactive groups which are mutually reactive to form a bivalent connecting group (E);

E: a bivalent connecting group formed by the reaction of E^1 and E^2 ;

E^F : the same group as E, or a group having E fluorinated, provided that at least one of R^{AF} , R^{BF} and E^F , is not the same group as the corresponding R^A , R^B and E, respectively;

E^{F1} , E^{F2} : each independently is a group formed by dissociation of E^F .

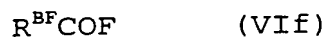
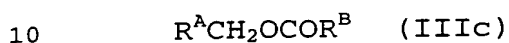
2. The process according to Claim 1, wherein the fluorine content in the compound (I) is less than 10 mass%, and the fluorine content in the compound (III) is at least 10 mass%.

Related Pending Application	
Related Case Serial No:	10/421,924
Related Case Filing Date:	04/24/03

3. The process according to Claim 1, wherein the molecular weight of the compound (III) is from 200 to 1,000.
4. The process according to Claim 1, wherein the
5 fluorine content of the compound (III) is from 10 to 86 mass%.
5. The process according to Claim 1, wherein R^B is R^{BF} .
6. The process according to Claim 1, wherein each of R^{AF} and R^{BF} is a perfluoro monovalent saturated hydrocarbon
10 group, a perfluoro(partially halogeno monovalent saturated hydrocarbon) group, a perfluoro(hetero atom-containing monovalent saturated hydrocarbon) group, or a perfluoro[partially halogeno(hetero atom-containing monovalent saturated hydrocarbon)] group.
- 15 7. The process according to Claim 1, wherein the compound (V) has the same structure as the compound (VI).
8. The process according to Claim 1, wherein the compound (II) has the same structure as the compound (VI).
9. The process according to Claim 1, wherein the
20 compound (V) has the same structure as the compound (VI) and the same structure also as the compound (II).
10. The process according to Claim 8, wherein a part or whole of the compound (VI) formed by the conversion of the compound (IV) is used again for the reaction with the
25 compound (I).
11. The process according to Claim 1, wherein the compound (I) is the following compound (Ia), the compound

Related Pending Application
Related Case Serial No: 60/421,924
Related Case Filing Date: 04/24/03

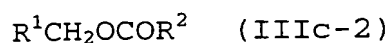
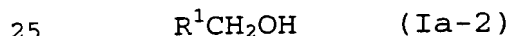
(II) is the following compound (IIb), the compound (III) is the following compound (IIIc), the compound (IV) is the following compound (IVd), the compound (V) is the following compound (Ve), and the compound (VI) is the following compound (VIf), provided that R^A , R^B , R^{AF} and R^{BF} have the same meanings as the meanings in Claim 1, and X is a halogen atom:



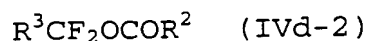
12. The process according to Claim 11, wherein X is a fluorine atom.

13. The process according to Claim 11, wherein R^{AF} and R^{BF} have the same structure.

14. The process according to Claim 11, wherein the compound (Ia) is the following compound (Ia-2), the compound (IIb) is the following compound (IIb-2), the compound (IIIc) is the following compound (IIIc-2), the compound (IVd) is the following compound (IVd-2), the compound (Ve) is the following compound (Ve-2), and the compound (VIf) is the following compound (IIb-2):



Related Pending Application
 Related Case Serial No: 10/421,924
 Related Case Filing Date: 04/24/03



wherein R^1 : an alkyl group, an alkoxyalkyl group, a halogenoalkyl group, or a halogeno(alkoxyalkyl) group;

5 R^2 : a perhalogenoalkyl group, or a perhalogeno(alkoxyalkyl) group;

R^3 : a group corresponding to R^1 ; and when R^1 is a group containing no hydrogen atom, it is the same group as R^1 , and when R^1 is a group containing hydrogen atoms,
10 it is a group having all of the hydrogen atoms in said group substituted by fluorine atoms.

15. The process according to Claim 14, wherein R^2 and R^3 have the same structure.

16. The process according to Claim 14, wherein a part or
15 whole of the compound (IIb-2) formed by the conversion of the compound (IVd-2) is used again for the reaction with the compound (Ia-2).

17. The process according to Claim 11, wherein the conversion reaction of the compound (IV) is a
20 decomposition reaction by heat, or a dissociation reaction carried out in a liquid phase in the presence of a nucleophile or an electrophile.

18. The process according to Claim 17, wherein the nucleophilic agent is a fluoride anion.

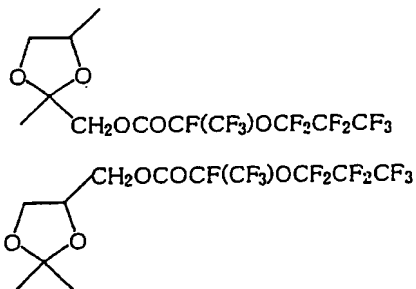
25 19. The process according to Claim 1, wherein the fluorination in the liquid phase is a fluorination reaction with fluorine gas carried out in a liquid phase,

or an electrochemical fluorination reaction.

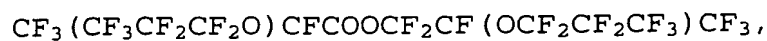
20. The process according to Claim 1, wherein the fluorination in the liquid phase is carried out by using one member selected from the compound (IV), the compound (V) and the compound (VI), as the liquid phase.

21. Any one of compounds represented by the following formulae, wherein Cy is a cyclohexyl group, and Ph is a phenyl group:

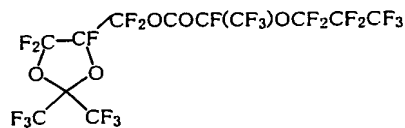
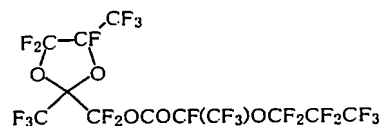
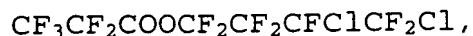
- $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOOCH}_2\text{CH}(\text{OCH}_2\text{CH}_2\text{CH}_3)\text{CH}_3,$
 $\text{CF}_3\text{CF}_2\text{COOCH}_2\text{CH}_2\text{CHClCH}_2\text{Cl},$
 $\text{CF}_2\text{ClCFClCF}_2\text{COOCH}_2\text{CH}_2\text{CHClCH}_2\text{Cl},$
 $\text{CF}_2\text{ClCF}_2\text{CFClCOOCH}_2\text{CH}_2\text{CHClCH}_2\text{Cl},$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOOCH}_2\text{CH}(\text{OCH}_2\text{CH}_2\text{CHClCH}_2\text{Cl})\text{CH}_3,$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOOCH}_2\text{CH}(\text{OCH}_2\text{Cy})\text{CH}_3,$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOOCH}_2\text{CH}(\text{OCH}_2\text{Ph})\text{CH}_3,$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOOCH}_2\text{CH}(\text{O}(\text{CH}_2)_9\text{CH}_3)\text{CH}_3,$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOO}(\text{CH}_2)_3\text{OCH}_2\text{Ph},$
 $\text{CF}_3(\text{CF}_3\text{CF}_2\text{CF}_2\text{O})\text{CFCOO}(\text{CH}_2)_3\text{OCH}_2\text{CH}=\text{CH}_2.$



22. Any one of compounds represented by the following formulae, wherein Cy^{F} is a perfluorocyclohexyl group:

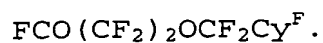
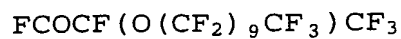


Pending Application
 Related Case Serial No: 10/421,924
 Date of Filing Date: 04/24/03



10

23. Any one of compounds represented by the following formulae:



15

ABSTRACT OF THE DISCLOSURE

The invention provides a process for producing a fluorine-containing compound from an inexpensive material.

Namely, Compound I such as $R^A\text{CH}_2\text{OH}$ is reacted with
5 Compound II such as XCOR^B to form Compound III such as
 $R^A\text{CH}_2\text{OCOR}^B$, followed by fluorination in a liquid phase to
form Compound IV such as $R^{AF}\text{CF}_2\text{OCOR}^{BF}$, which is converted
to Compound V such as $R^{AF}\text{COF}$ and/or Compound VI such as
 $R^{BF}\text{COF}$. R^A is an alkyl group or the like, R^B is a
10 perhalogenoalkyl group or the like, R^{AF} and R^{BF} are
fluorinated R^A and R^B , and X is halogen.

Filed: Pending Application
Published Case Serial No: 10/421,924
Published Case Filing Date: 04/24/03